

SECTION 2: FORM PTO 1449 - MODIFIED

RECEIVED

MAR 13 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP 2700

Applicant: Wagner

Atty Dkt: 1956/126

Serial No: 09/480,390

Date: February 16, 2000

Date Filed: January 11, 2000



Invention: SYSTEM, DEVICE, AND METHOD FOR PROVIDING MUTUAL EXCLUSION FOR COMPUTER SYSTEM RESOURCES

Assistant Commissioner for Patents
Washington, DC 20231

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS'
INFORMATION DISCLOSURE STATEMENT

U.S. Documents

<u>Exam. Ref.</u>	<u>Document</u>	<u>Issue</u>	<u>Name</u>	<u>Class</u>
<u>Init.</u>	<u>No.</u>	<u>Number</u>	<u>Date</u>	
AA	5,305,326	April 19, 1994	Solomon et al.	371/11.1
AB	5,371,743	Dec. 6, 1994	DeYesso et al.	371/8.1
AC	5,452,444	Sep. 19, 1995	Solomon et al.	395/182.04
AD	5,537,567	July 16, 1996	Galbraith et al.	395/441
AE	5,617,425	April 1, 1997	Anderson	371/10.2
AF	5,633,999	May 27, 1997	Clowes et al.	395/182.04
AG	5,933,824	Aug. 3, 1999	DeKoning et al.	707/8

Examiner: B. S. [Signature]

Date Considered: 03-12-02

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.



Foreign Patent Documents

RECEIVED

MAR 13 2000

GROUP 2700

<u>Exam. Ref.</u>	<u>Document</u>	<u>Issue</u>	
<u>Init.</u>	<u>No.</u>	<u>Number</u>	<u>Date</u>
			<u>Country</u>
AH	WO 91/13399	Sept. 5, 1991	World
AI	WO 95/13583	May 18, 1995	World
AJ	WO 98/28684	July 2, 1998	World
AK	EP 0 727 750 A2	August 21, 1996	Europe
AL	EP 0 769 744 A2	April 23, 1997	Europe

Other Documents

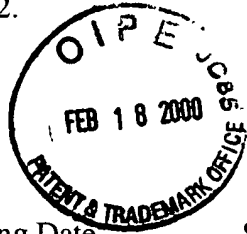
<u>Exam. Ref.</u>	<u>Name</u>
<u>Init.</u>	<u>No.</u>
AM	RAID-II: "A Scalable Storage Architecture for High-Bandwidth Network File Service," Lee et al., pp. 1-18.
AN	Ciprico Annual Report, The Disk Array Company, June 1995, pp. 1-16.
AO	Ciprico, "Ciprico Disk Arrays," September 1995.
AP	Clariion's Data Integrity Difference, "A White Paper," Steve Todd, November 28, 1995, pp. 1-7.
AQ	Hewlett Packard, "HP Disk Array with AutoRAID for HP 9000 Servers and Workstations," 1995.
AR	"Performance Modeling and Analysis of Disk Arrays," Edward Kihyen Lee, University of California at Berkeley, 1993, pp. 1-124.
AS	"An Analytic Performance Model of Disk Arrays and its Application," Lee et al., University of California at Berkeley, pp. 1-21.
AT	"Performance Measurements of the First RAID Prototype," Ann L. Chervenak, May 17, 1990, pp. 1-58.
AU	"HP Disk Array with AutoRAID Models 12 and 12H," Hewlett Packard, pp. 1-10.
AV	"Swift: A Storage Architecture for Large Objects," Cabrera et al., October 12, 1990, pp. 1-7.

Examiner: Brian D. Nguyen

Date Considered: 03-17-02

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.

- AW Clariion, "Fibre Arrays, Meeting the Challenge," Brian Bailey, 1996.
- AX "Swift: Using Distributed Disk Striping to Provide High I/O Data Rates," Cebrera et al., pp. 1-23.
- AY "The TickerTAIP Parallel RAID Architecture," Cao et al., HP Laboratories Technical Report, December 1992, pp. 1-20.
- AZ "Swift/RAID: A Distributed RAID System," Long et al., IBM Almaden Research Center, pp. 1-20.
- BA "The Swift/RAID Distributed Transaction Driver," Bruce R. Montague, January 1, 1993, pp. 1-32.



Pending Patent Applications

Exam. Ref. Init. No.	Filing Date	Serial No.	Title	Inventor
BB	Dec. 22, 1997	08/996,444	Distributed Raid Storage System	Solomon et al.

Examiner: Bao Lue Nguyen To

Date Considered: 03-17-02

NOTE FOR EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance AND not considered. Include copy of this form with next communication to applicant.